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# Application | SMSF

ATLAS Infrastructure Global Fund - AUD Hedged Class

ATLAS Infrastructure Global Fund - AUD Unhedged Class

#### WHO SHOULD COMPLETE THIS FORM?

This application form is for investments made by trustees of Self Managed Superannuation Funds (SMSF).

#### HOW TO COMPLETE THIS FORM

Step 1 Before submitting this form, please read the Product Disclosure Statement (PDS) available here

www.atlasinfrastructure.com or if you are unable to access the link or print the document, contact us on (03) 9046 4057.

Units in a fund will only be issued following acceptance of an application form issued with the relevant PDS, with all required documents attached.

Online applications: Please complete online and then print to sign using a black pen.

Manual applications: Please print, use black pen and write in BLOCK letters. If you make an error do not use correction fluid, instead, cross out your error and initial your changes.

Note: Please ensure all fields are completed including those in sections A, B and C.

#### Step 2 Tell us your foreign tax status

Please complete the Global Tax Reporting (FATCA/CRS) information in section 9.

#### Step 3 Sign and send your documents to the below address.

Please ensure you sign section 11 of the form in accordance with the instructions provided.

You can return your forms by post to:

ATLAS Infrastructure GPO Box 804 Melbourne VIC 3001

### Step 4 Make your payment

Please refer to **section 4** and **5** of the application form and follow the instructions on how to pay the application amount. Your application cannot be processed until all relevant identification documents and cleared funds are received.

#### IMPORTANT INFORMATION

- Please ensure all fields are completed. If you do not complete all relevant sections your application may be rejected and returned to you for completion, resulting in a delay in processing your application.
- If you have any questions as you complete this form, please refer to the FAQs of this form, or contact us on (03) 9046 4057.

#### Section A: DDO Obligations

The following questions may assist ATLAS Infrastructure in meeting its regulatory obligations by determining whether this financial product is being offered to the stated target market.

The below only needs to be answered where you are a direct [retail] investor (i.e. does not apply to Indirect or intermediated investments such as those made by platforms, custodians, etc).

Was this investment made based on personal advice received from your financial adviser?	
Yes - please ensure the details of your financial adviser are completed in Section B	
No - please complete the questions below:	
A. What is your primary investment objective in relation to this investment? (select only one option)	
Capital growth (you seek to invest in a product designed or expected to generate capital return over the investment timeframe. You prefer exposure to growth assets (such as shares or property) or otherwise seeks an investment return above the current inflation rate).	
Capital preservation (you seek to invest in a product designed or expected to have low volatility and minimise capital loss. You prefer exposure to defensive assets that are generally lower in risk and less volatile than growth investments (this may include cash or fixed income securities).	
<b>Income distribution</b> (you seek to invest in a product designed or expected to distribute regular and/or tax-effective income. You prefer exposure to income-generated assets (this may include high dividend-yielding equities, fixed income securities and money market instruments)).	
B. Are you seeking a source of supplemental income (which may not be regular or recurrent) in addition to the above investment objective? (select only one option)	
Yes	
No	
C. What is your investment timeframe in relation to this investment? (select only one option)	
Up to and including 2 years (i.e. Short term)	
More than 2 years but less than 5 years (i.e. Medium term)	
Equal to 5 years but less than 7 years (i.e. Medium to long term)	
Equal to 7 years or more (i.e. Long term)	

D. Under normal circumstances, within what period do you expect to be able to access your funds for this investment? (selection)	;t
Within one week	
Within one month	
Within three months	
Within one year	
More than one year	
Within five years	
Within ten years	
More than ten years	
At issuer's discretion	
E. In relation to this investment, which investment risk and return profile best describes you? (select only one option)	
Low risk and return: You are looking for an investment that is low risk in nature (e.g. you have the ability to tolerate up to 1 negative return over a 20-year period and you are comfortable with a low target return from this investment).	
<b>Medium risk and return:</b> You are looking for an investment that is moderate or medium risk in nature (e.g. you have the ability to tolerate up to 4 negative returns over a 20-year period and you are comfortable with a moderate target return from this investment).	
<b>High risk and return:</b> You are looking for an investment that is higher risk in nature (e.g. you have the ability to tolerate up to 6 negative returns over a 20-year period in order to achieve a higher target return from this investment).	
Very high risk and return: You are looking for an investment that is very high risk in nature (e.g. you have the ability to tolerate 6 or more negative returns over a 20-year period as you are seeking to maximise returns and you can accept higher potential losses).	
<b>Extremely high risk and return:</b> You are for an investment that is extremely high risk in nature (e.g. you have the ability to accept significant volatility and losses as you are seeking to obtain accelerated returns (potentially in a short timeframe)).	
F. What percentage of your total investable assets are you directing to this fund - that is the total assets you have available for investment, excluding your residential home? (select only one option)	
Solution/Standalone (up to 100%)	
Major allocation (up to 75%)	
Core component (up to 50%)	
Minor allocation (up to 25%)	
Satellite allocation (up to 10%)	
G. Where did you obtain your application form? (select only one option)	
Fund Manager Website Financial Adviser Referred by a friend/colleague Advertisement Oth	ner
<b>Note:</b> Acceptance of your application should not be taken as a representation or confirmation that an investment in the fund is or is likely to be, consistent with your intentions, objectives and needs as indicated in your responses to these questions.	S,

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Section B: Investor details			
What is the full legal name of the individual or sole trader that will he	old title of the units?		
Full name of account designation			
If you are an existing investor, please provide your account number			
I/We confirm there are no changes to our identification docum	ents previously provided and that these remain current and valid.		
I/We confirm there are no changes to the information in our pr	revious application provided and that it remains current and valid.		
Section C: Are you investing using funds borrowed under a margi	n loan?		
No - go to section 1 Yes - please complete the details below			
Name of margin lender Name of borrower			
Borrower's TFN Loan number			
If the person who will hold legal title to the units will be the borrower granting Power of Attorney to the margin lender or its nominee, please complete this form as an individual.			

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1. TRUST/FUND DETAILS				
Full name of trust/superannuation fund				
Full business name (if any) of the trustee in respect of the trust/sup	erannuation fund			
Country of establishment				
Tax file number or exemption code	Australian Business Number (if any)			
2. TRUSTEE DETAILS				
<b>Note:</b> A Self-managed Super Fund (SMSF) must have a minimum of either individual or corporate. Where the trustee is corporate, all mare more than four (4) trustees, please complete <b>section 2</b> of anot	embers of the SMSF are directors of the corporate trustee. If there			
How many trustees does the SMSF have?				
Individual trustee (complete section 2.1)  Corporate	e trustee (complete section 2.2 & 2.3)			
2.1 Individual trustee(s) details				
Individual trustee 1				
Title Given name(s)	Surname			
Date of birth (DD/MM/YYYY) / / / Occupation				
Residential address - (A PO Box/RMB/Locked Bag is not acceptable)				
Unit Street number Street name				
Suburb State	Postcode Country			
Contact details				
Home number (including country and area code)	Mobile number (including country code)			
Consil (default address for all assessment days)				
Email (default address for all correspondence)				

Individual truste	ee 2					
Title	Given name(s)			Surname		
Date of birth (DE	D/MM/YYYY) //	/	Occ	upation		
Residential addr	ress - (A PO Box/RMB/Locke	d Bag is not accep	ptable)			
Unit	Street number	Street name				
Suburb			State	Postcode	Country	
Contact details						
Home number (ir	ncluding country and area c	ode)	Mobile n	umber (including	country code)	
Email						
All corresponder	nce will be sent to the email	address provided	d by <b>Individual tru</b>	stee 1.		
Individual truste	ee 3					
Title	Given name(s)			Surname		
Title	Given Hame(s)			Surname		
Date of birth (DD/MM/YYYY) / / Occupation						
Residential addr	ress - (A PO Box/RMB/Locke	d Bag is not acce <sub>l</sub>	ptable)			
Unit	Street number	Street name				
Suburb			State	Postcode	Country	
Contact details						
	ncluding country and area c	ode)	Mobile n	umber (including	country code)	
Email						
All corresponder	nce will be sent to the email	address provided	d by <b>Individual tru</b>	stee 1.		
		·	•			

Individual trustee 4				
Title Given name(s)	Surname			
Date of birth (DD/MM/YYYY)	Occupation			
Residential address - (A PO Box/RMB/Locked Bag is not acceptable	e)			
Unit Street number Street name				
Suburb Sta	te Postcode Country			
Contact details				
Home number (including country and area code)	Mobile number (including country code)			
Email				
All correspondence will be sent to the email address provided by $\ensuremath{I}$	ndividual trustee 1.			
2.2 Corporate trustee details				
Full business name as registered by ASIC	ACN			
Nature of business				
Nature of business				
Registered Office Address - (PO Box is not acceptable)				
Unit Street number Street name				
Suburb Sta	te Postcode Country			
Principal place of business (if any) (PO Box is not acceptable)				
Unit Street number Street name				
Suburb Sta	te Postcode Country			
Contact details (for company or contact				
person) Name Email				
Business number (include country and area code)	Business number (include country and area code)  Mobile number (include country code)			

2.3 What type of company is the corporate trustee?					
Public (com	panies whose name does NOT include the word Pty o	r proprietary; generally listed companies)			
Proprietary	(companies whose name ends with Proprietary Ltd or	Pty Ltd; also known as private companies)			
Please comp	lete the director details below if you are an Australian	proprietary company. Do not complete for public companies.			
Directors det	tails				
How many di	rectors are there?				
•	le below the full name of all the directors. If there are tach to this application form.	more than four directors, please complete the details on a separate			
Director 1					
Title	Given name(s)	Surname			
Director 2					
Title	Given name(s)	Surname			
Director 3					
Title	Given name(s)	Surname			
Director 4					
Title	Given name(s)	Surname			

3. INVESTMENT A	AND DISTRIBUTION INSTRUCTION	IS				
Fund name		APIR	Fund minimum AUD\$	Investment amount AUD\$	Distribution (indicate (X) or fun Pay to my bank a/c	ne option per
ATLAS Infrastruct Hedged Class	ure Global Fund -AUD	PIM9253AU	\$25,000			
ATLAS Infrastruct Unhedged Class	ure Global Fund -AUD	PIM6769AU	\$25,000			
Please indicate th	ne source & origin of funds being	invested				
Savings						
Superannuation c	ontributions					
Income from empl	oyment - regular and/or bonus					
Normal course of	business					
Investment						
Donation/gift						
Inheritance						
Sale of assets (e.g. shares, property)						
Other						
4. PAYMENT OF APPLICATION AMOUNT						
Select your payment method and complete the relevant section if applicable. All payments must be made in AUD.						
EFT	Direct de	bit				
EFT	Electronic Funds Transfer					
Account name:	Account name: OVFS ATF ATLAS INFRASTRUCTURE APPLICATION ACCOUNT					
BSB:	083-001					
Account number:	986730631					

Your reference:

[please use the name of the investor]

## Direct debit authority - Australian bank accounts only

You can allow us to deduct your application amount directly from your nominated financial institution account by completing the direct debit authority below. This debit will be made through the Bulk Electronic Clearing System (BECS) from your account held at the financial institution you have nominated below.

We use OneVue Fund Services Pty Ltd as our external service provider to process your application and payment. By completing this section, you have understood and agreed to the terms and conditions governing the debit arrangements between you and OneVue Fund Services Pty Ltd, as set out in this request and in your <u>Direct Debit Request Service Agreement</u>.

Financial Institution name	Branch name
Account name	
BSB number	Account number
I/We request and authorise [OneVue Fund Services Pty Ltd ABN 18 1 financial institution, a debit to the nominated account as deemed page 1.5.	
Signature of primary account holder	
Full name	Date (DD/MM/YYYY)
Signature of joint account holder (if applicable)	
Full name	Date (DD/MM/YYYY)
5. FINANCIAL INSTITUTION ACCOUNT DETAILS	
Australian bank account details	
Please provide your bank account details if you have selected to tal future redemptions. We will only pay cash proceeds to a bank accoupayments into third party bank accounts.	
Financial institution name	Branch name
Account name	
BSB number	Account number
	. 15553.15 .15.11561

6. COMMUNICATION  Automatic online account access
Online access enables you to view details of your investments (account balance, investment details and account statements). We will send you the necessary registration details by post once your application is processed.
<b>Note:</b> You may receive marketing material (e.g. market commentary, event invitations) from us, from time to time. Please indicate if you do not wish to receive these communications.
Annual & semi-annual report options
The annual and any semi-annual financial statements of the fund are available free on our website. If you would like to receive a copy by post or email, please indicate below. (This refers to annual and semi-annual reports only. This will not affect communication instructions regarding general correspondence for your fund).
By email By post
Marketing material
You may receive information from us via mail, telephone, email or other electronic messaging service relating to market commentary, services or information that may be of interest to you. By providing us with your contact details you consent to being contacted by these methods for these purposes. Please indicate if you do not wish to receive marketing information from us or any companies within our group.
7. FINANCIAL ADVISER DETAILS
Use this section to tell us about your financial adviser. If you change your financial adviser, it's important to let us know in a timely way. If you would like your financial adviser to receive copies of your statements by email, please enter their email address below.
Adviser email address
Operating your account
Do you want your financial adviser to be able to operate your account?
No No
Yes - Please complete <b>section 8</b> (Authorised representative of investor). In general, an appointed financial adviser can do everything you can do with your investment, except appoint another person to operate your account. It is important to tell us promptly if you no longer wish your financial adviser to operate your account, or if your financial adviser changes – OneVue will keep accepting their instructions until you or your adviser inform us in writing that the appointment has terminated. We may suspend or terminate their appointment for any reason considered reasonable, and may change the terms on which they operate your account. You indemnify us from any loss you or we suffer as a result of the actions of your appointed financial adviser, and agree to ratify their actions if we ask
<b>Notice to financial adviser:</b> by completing this section of the application form, you are confirming that you hold a current Australian Financial Services Licence (AFSL), or are otherwise authorised to advise on and arrange this product.

Adviser details	
AFSL holder name	AFSL number
Adviser name	
Advisor code or Authorised representative number	Adviser ABN

Property/buildin	g name				
Unit	Street number	Street name			
Suburb			State	Postcode	Country
Phone			Mobile		
Performance of	investor identification	& verification procedu	ures		
Please indicate	below whether client ide	entification and verific	cation procedures	have been perfo	rmed.
No - I hav	e not performed the app	olicable customer ider	ntification procedu	ure on this invest	or.
Yes - I hav	e completed the applicab	le customer identifica	tion procedure on	this investor.	
Financial advise	on de alematica				
Financial advise	er declaration				
Notice to fina criteria below		te that reliance on the	e KYC performed l	by the financial a	dvisor is only acceptable if all the
I hold an	AFSL in my own name o	r have been appointe	d as an authorise	d representative	by the licensee.
I am a rep	porting entity for AML/C	TF purposes.			
The issue	er has reasonable ground	ds to believe that it is	s appropriate to re	ly on the KYC pro	ocedure I have undertaken.
I have att	cached the KYC docume	nts to this form.			
AFSL full legal	entity name				
AFSL number					
Please print ful	I name of Financial Advis	ser			
Signature					

## 8. AUTHORISED REPRESENTATIVE OF INVESTOR

Please complete this section if you wish to appoint an individual or individuals to act on your behalf in relation to your investment in the fund. If you have appointed an entity as your authorised representative, please contact us on [phone number] to obtain the relevant KYC form.

orised representative details		
representative 1		
Given name(s)		Surname
representative's phone number		
representative's signature		
representative 2		Surname
representative's phone number		
representative's signature		
	Given name(s)  representative's phone number  representative's signature  representative 2  Given name(s)  representative's phone number	Given name(s)  representative's phone number  representative's signature  representative 2  Given name(s)  representative's phone number

If you wish to appoint more than two authorised representatives, please complete the details on a separate sheet and attach to this application form.

8.2 How authorised representatives may act in relation to the account?		
Tick applicable		
Each authorised representative listed above may provide instructions in relation to the investment individually without the consent of the other		
All authorised representatives must act jointly to provide instructions in relation to the investment		
Other arrangement – please provide details		
8.3 Verification procedure for authorised representatives who are individuals		
For each authorised representative, please provide verification documents. In addition, please provide evidence of each authorised representative's authority to act on behalf of the investor. Please tick the document(s) you have provided.		
Verification documents - mandatory		
A certified copy of an Australian Driver's Licence or Australian Passport		
A certified copy of an Australian Driver's Licence or Australian Passport		
A certified copy of an Australian Driver's Licence or Australian Passport  Authorised representative's authority - one of the following (not required for a Financial Adviser listed in Section 7)		
A certified copy of an Australian Driver's Licence or Australian Passport  Authorised representative's authority - one of the following (not required for a Financial Adviser listed in Section 7)  Certified copy of the authorising document (e.g. POA); or		
A certified copy of an Australian Driver's Licence or Australian Passport  Authorised representative's authority - one of the following (not required for a Financial Adviser listed in Section 7)  Certified copy of the authorising document (e.g. POA); or  A certified copy of a guardianship order; or		

## 9. GLOBAL TAX REPORTING REQUIREMENTS (FATCA/CRS)

# Why you need to complete this section?

The Foreign Account Tax Compliance Act (FATCA) and Common Reporting Standard (CRS) are regulatory requirements that aim to deter tax evasion by US and other foreign taxpayers. The Australian and a number of other foreign Governments have an agreement which requires us to obtain certain information from investors, including taxation information. You may be liable to a penalty if you provide information that is false or misleading that is material. We may decide not to open an account without first receiving the required information. For more information, visit <a href="https://www.ato.gov.au">www.ato.gov.au</a>.

If you are unsure of any of the answers, please contact a legal or accounting professional.

Australian Government or semi-government superannuation funds and pooled super trusts.

### 9.1 Regulated Superannuation Funds

Are you a regulated superannuation fund?  I am the trustee of a regulated superannuation fund (this includes a self-managed superannuation fund)
HELP  Regulated superannuation fund means self-managed superannuation funds, APRA regulated superannuation funds,

#### 10. DECLARATIONS & ACKNOWLEDGEMENTS

Please read the declarations below before signing this form. The required signature(s) are detailed at the bottom of this form. When you apply to invest, you (the applicant) are telling us:

- to the best of your knowledge, all details in this application (including all related documents provided) are true, correct and complete
- you have received, read and understood the current PDS. You agree to be bound by the constitution of the fund, the PDS as supplemented, replaced or re-issued from time to time
- · you are not bankrupt or a minor, and are authorised to sign this form
- · you have received and accepted this offer in Australia
- · you have read and understood the information relating to privacy in the PDS
- I consent to the issuer disclosing my personal information to any issuer's service providers, in relation to any identification and verification that the issuer is required to undertake on me, as required under the AML/CTF Act. This shall include any information:
  - o required by any third party document verification service provider, and/or
  - o provided to any third party document verification service provider.

### By applying to invest you also acknowledge that:

- monies deposited are not associated with crime, money laundering and/or financing terrorism. We may decide to delay or refuse
  any request or transaction, including by suspending the issue or redemption of units. If we are concerned that the request or
  transaction may breach any obligation of, or cause us to commit or participate in an offence under any AML/CTF and Sanctions
  Law and FATCA/ CRS obligations, we will incur no liability to you if we do so
- we may take other action we reasonably believe is necessary to comply with AML/CTF and Sanctions Law and FATCA/CRS obligations, including disclosing any information held about you to any of our related bodies corporate or service providers whether in Australia or outside Australia, or to any relevant Australian or foreign regulator, and
- we collect additional information about you from time to time, from you or from third parties, for the purposes of satisfying AML/CTF and Sanctions Law and FATCA/CRS obligations, and that any such information may be used and disclosed as described in the Atlas Infrastructure privacy policy available online at <a href="https://www.atlasinfrastructure.com/privacy/">https://www.atlasinfrastructure.com/privacy/</a>.

#### Important information

- · nothing in this form is advice and 'help' is general guidance only. Seek professional advice to be sure of your answers
- it is a condition of investing that you keep your details (including tax detail) with us, up to date. We recommend that you review this tax information form at the end of the financial year and update your details if required. You must contact us when you learn new things about the matters in this form. Failing to update us can have tax and other consequences. You can update us by requesting and completing this form and emailing, faxing or posting it to our Administrator.

#### By completing and signing this form:

- · you represent having read and understood this form
- · you represent this form is complete and accurate
- if you have applied for but not received your TIN or GIIN, you undertake to inform us within 30 days of receiving it
- you undertake that if information in this form changes, you will tell us within 30 days
- you declare that to the best of your knowledge and belief the information provided in the Global Tax Reporting section is true and correct
- you agree to notify Atlas Infrastructure of any changes to your tax residency or that of any beneficial owners or controlling person.

# 11. SIGNATURE(S)

For individual trustees, all trustees to sign. For Australian corporate trustee, the signature(s) of either a sole director, or two directors, or one director and the company secretary.

Signature 1	Signature 2
Signature	Signature
Date (DD/MM/YY)	Date (DD/MM/YY)
Full name	Full name
Capacity	Capacity
Individual trustee 1	Individual trustee 2
Director	Director
Company Secretary	Company Secretary
Authorised Representative	Authorised Representative
Signature 3	Signature 4
Signature	Signature
Date (DD/MM/YY)	Date (DD/MM/YY)
Full name	Full name
Capacity	Capacity
Individual trustee 3	Individual trustee 4
Director	Director
Company Secretary	Company Secretary
Authorised Representative	Authorised Representative

# Translating documents by an accredited translator

In Australia an accredited translator means a professional translator accredited by the National Accreditation Authority for Translators and Interpreters at or above professional level.

NAATI (https://www.naati.com.au/)

In an overseas country, an accredited translator is a professional translator accredited by a NAATI equivalent authority. For these, escalate to the AML Compliance Officer for assistance.

# Getting your copies certified

Any document(s) requiring to be certified for verification purposes must be certified by an eligible person to be a true copy of the original document. Documents must be either certified on all pages or certified on the front page with a clear reference to the number of subsequent pages that are included.

# Example of certification

I certify that this is a true and correct copy of the original document Signature of Certifier Name of Certifier

Capacity of certifier – e.g. Justice of the Peace Date of certification (DD/MM/YYYY)

# List of occupations that can certify (from the Statutory Declaration Regulations 2018)

- Architect
- Chiropractor
- Dentist
- · Financial adviser or financial planner
- · Legal practitioner
- · Medical practitioner
- Midwife
- Migration authorised representative registered under Division 3 of Part 3 of the Migration Act 1958
- Nurse
- · Occupational therapist
- Optometrist
- Patent attorney
- Pharmacist
- Physiotherapist
- Psychologist
- Trade marks attorney
- Veterinary surgeon.

# List of persons who can certify

- a person who is enrolled on the roll of the Supreme Court of a State or Territory or the High Court of Australia, as a legal practitioner (however described)
- · a judge of a court
- a magistrate
- · a chief executive officer of a Commonwealth court
- a registrar or deputy registrar of a court
- · a Justice of the Peace
- a notary public (for the purposes of the Statutory Declaration Regulations 2018)
- · a police officer
- an authorised representative of the Australian Postal Corporation who is in charge of an office supplying postal services to the public
- a permanent employee of the Australian Postal Corporation with 2 or more years of continuous service who is employed in an office supplying postal services to the public
- an Australian consular officer or an Australian diplomatic officer (within the meaning of the Consular Fees Act 1955)
- an officer with 2 or more continuous years of service with one or more financial institutions (for the purposes of the Statutory Declaration Regulations 2018)
- a finance company officer with 2 or more continuous years of service with one or more financial companies (for the purposes of the Statutory Declaration Regulations 2018)
- an officer with, or authorised representative of, a holder of an Australian financial services licence, having 2 or more continuous years of service with one or more licensees
- a member of the Institute of Chartered Accountants in Australia, CPA Australia or the National Institute of Accountants with 2 or more years of continuous membership.